

Bases for algebras over a monad

Stefan Zetzsche¹ Alexandra Silva¹
Matteo Sammartino^{1,2}

¹University College London

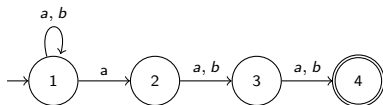
²Royal Holloway University of London

February 5, 2021

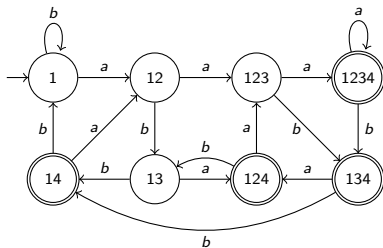
⁰<https://arxiv.org/abs/2010.10223>

Motivation: NFA, DFA

A NFA accepting L^1 :



Up to iso, the minimal DFA accepting L :



¹ $L = \{w \in \{a, b\}^* \mid |w| \geq 3 \text{ and the } 3^{\text{rd}} \text{ symbol from the right is } a\}$

Motivation: NFA \rightarrow DFA

$$\langle \delta, \varepsilon \rangle : Y \rightarrow \mathcal{P}(Y)^A \times 2$$



$$\langle \delta^\#, \varepsilon^\# \rangle^2 : \mathcal{P}(Y) \rightarrow \mathcal{P}(Y)^A \times 2$$

$${}^2\delta_a^\#(U) = \bigcup_{u \in U} \delta_a(u), \quad \varepsilon^\#(U) = \bigvee_{u \in U} \varepsilon(u)$$

Motivation: NFA \rightarrow DFA (in CSL)

$$\delta_a^\#(U_1 \cup U_2) = \delta_a^\#(U_1) \cup \delta_a^\#(U_2)$$

$$\varepsilon^\#(U_1 \cup U_2) = \varepsilon^\#(U_1) \vee \varepsilon^\#(U_2)$$

$\langle \delta, \varepsilon \rangle$ is a NFA in the category of sets

$\langle \delta^\#, \varepsilon^\# \rangle$ is a DFA in the category of complete semilattices

$${}^2\delta_a^\#(U) = \bigcup_{u \in U} \delta_a(u), \quad \varepsilon^\#(U) = \bigvee_{u \in U} \varepsilon(u)$$

Motivation: DFA (in CSL) \rightarrow NFA

$$\begin{array}{c} \langle D, E \rangle : L \rightarrow L^A \times 2 \\ \downarrow 3 \\ \langle \delta, \varepsilon \rangle : Y \rightarrow \mathcal{P}(Y)^A \times 2 \end{array}$$

Possible? Maybe, choose Y as a generator for L ? Can we find a minimal Y ?

³Constraint: $\langle D, E \rangle \sim \langle \delta^\#, \varepsilon^\# \rangle$

Motivation: DFA (in CSL) \rightarrow NFA

Let L be a join semi-lattice.

A subset $Y \subseteq L$ is **join-dense** in L iff for all $x \in L$ there exists a decomposition

$$x = y_1 \vee \dots \vee y_n,$$

where $y_i \in Y$ for $i = 1, \dots, n$.

If L is finite or satisfies the descending chain condition, the set of **join-irreducibles** $J(L)$ ⁴ is join-dense in L .

⁴ $x \in J(L)$ iff $x \neq 0$ and $\forall y, z \in L: x = y \vee z$ implies $x = y$ or $x = z$.

Motivation: DFA (in ?) \rightarrow ?

$$\begin{array}{ccc} L \rightarrow L^A \times 2 & & V \rightarrow V^A \times 2 \\ \downarrow & & \downarrow \\ Y \rightarrow T_{\text{CSL}}(Y)^A \times 2 & & Y \rightarrow T_{\text{VSP}}(Y)^A \times 2 \end{array}$$

⁴ $T_{\text{CSL}} = \mathcal{P}$, $T_{\text{VSP}} = ?$

Preliminaries

Algebra in theory T	$TX \rightarrow X \in \text{Alg}(T)$
Free algebra in theory T	$T^2Y \rightarrow TY \in \text{Alg}(T)$
DFA in Set	$X \rightarrow FX \in \text{Coalg}(F)$
DFA in CSL	$TX \rightarrow X \rightarrow FX \in \text{Bialg}(\lambda)$
NFA in Set	$T^2Y \rightarrow TY \rightarrow FTY \in \text{Bialg}(\lambda)$

Preliminaries: Monads

A **monad** is a tuple $\langle T, \eta, \mu \rangle$ consisting of an endofunctor $T : \mathbf{C} \rightarrow \mathbf{C}$ and natural transformations

$$\eta : 1 \Rightarrow T \quad \mu : T^2 \Rightarrow T$$

satisfying

$$\mu \circ \eta_T = 1 = \mu \circ T\eta \quad \mu \circ T\mu = \mu \circ \mu_T.$$

⁴For instance, the **powerset monad** with

$$T_{\text{CSL}}X = 2^X, \quad \eta_X(x)(y) = [x = y], \quad \mu_X(\Phi)(x) = \bigvee_{\varphi \in 2^X} \Phi(\varphi) \wedge \varphi(x);$$

and the **free vector space monad** with

$$T_{\text{VSP}}X = k^X|_{\text{fs}}, \quad \eta_X(x)(y) = [x = y], \quad \mu_X(\Phi)(x) = \sum_{\varphi \in k^X} \Phi(\varphi) \cdot \varphi(x).$$

Preliminaries: Algebras over a monad

An **algebra over a monad** $\langle T, \eta, \mu \rangle$ is a tuple $\langle X, h \rangle$ consisting of a morphism

$$h : TX \rightarrow X$$

satisfying

$$h \circ \eta_X = \text{id}_X \quad h \circ Th = h \circ \mu_X.$$

⁴For instance, there are equivalences

$$\text{Alg}(T_{\text{CSL}}) \simeq \text{CSL} \quad \text{Alg}(T_{\text{VSP}}) \simeq \text{VSP}.$$

Preliminaries: Distributive laws

A **distributive law** between a monad $\langle T, \eta, \mu \rangle$ and an endofunctor F is a natural transformation

$$\lambda : TF \Rightarrow FT$$

satisfying the laws

$$\lambda \circ \eta_F = F\eta \quad \lambda\mu_F = F\mu \circ \lambda_T \circ T\lambda.$$

⁴For example, if F satisfies $FX = X^A \times B$ and $\langle B, h \rangle$ is a T -algebra,

$$\lambda_X : T(X^A \times B) \xrightarrow{\langle T\pi_1, T\pi_2 \rangle} T(X^A) \times TB \xrightarrow{\text{st} \times h} (TX)^A \times B$$

gives rise to a distributive law between T and F .

Preliminaries: Distributive laws

There exist liftings T_λ and F_λ

$$\begin{array}{ccc} \text{Coalg}(F) & \xrightarrow{T_\lambda} & \text{Coalg}(F) \\ \downarrow U_F & & \downarrow U_F \\ \mathbb{C} & \xrightarrow{T} & \mathbb{C} \end{array} \qquad \begin{array}{ccc} \text{Alg}(T) & \xrightarrow{F_\lambda} & \text{Alg}(T) \\ \downarrow U_T & & \downarrow U_T \\ \mathbb{C} & \xrightarrow{F} & \mathbb{C} \end{array}$$

satisfying

$$\begin{aligned} T_\lambda(X \xrightarrow{k} FX) &= TX \xrightarrow{\lambda_X \circ Tk} FTX \\ F_\lambda(TX \xrightarrow{h} X) &= TFX \xrightarrow{Fh \circ \lambda_X} FX. \end{aligned}$$

⁴In fact, liftings of T to $\text{Coalg}(F)$, liftings of F to $\text{Alg}(T)$, and distributive laws coincide.

Preliminaries: Bialgebras

A λ -bialgebra is an object with both a T -algebra and a F -coalgebra structure

$$\langle TX \xrightarrow{h} X \xrightarrow{k} FX \rangle,$$

satisfying

$$\begin{array}{ccccc} TX & \xrightarrow{h} & X & & \\ \downarrow Tk & & \downarrow k & & \\ TFX & \xrightarrow{\lambda_X} & FTX & \xrightarrow{Fh} & FX \end{array} \cdot$$

There exist equivalences

$$\text{Alg}(T_\lambda) \simeq \text{Bialg}(\lambda) \simeq \text{Coalg}(F_\lambda).$$

Overview

- ▶ Generators for algebras
- ▶ Bases for algebras
- ▶ Bases for bialgebras
- ▶ Basis representation
- ▶ Alternative approach
- ▶ Future work

Generators

A **generator**⁵ for a T -algebra $\langle X, h \rangle$ is a tuple $\langle Y, i, d \rangle$ consisting of an object Y and morphisms

$$i : Y \rightarrow X \quad d : X \rightarrow TY$$

satisfying

$$\begin{array}{ccc} TY & \xrightarrow{Ti} & TX \\ \uparrow d & & \downarrow h \\ X & \xrightarrow{id_X} & X \end{array} \cdot$$

⁵Arbib and Manes, "Fuzzy machines in a category".

⁵For instance, every T -algebra $\langle X, h \rangle$ is generated by $\langle X, id_X, \eta_X \rangle$.

Generators

$\langle Y, i, d \rangle$ is a generator for a T_{CSL} -algebra $\langle X, h \rangle$ iff for all $x \in X$

$$x = \bigvee_{y \in d(x)}^h i(y).$$

$\langle Y, i, d \rangle$ is a generator for a T_{VSP} -algebra $\langle X, h \rangle$ iff for all $x \in X$

$$x = \sum_{y \in Y}^h d(x)(y) \cdot^h i(y).$$

⁵ $i : Y \rightarrow X, d : X \rightarrow TY$

Generators

Let $\langle X, h, k \rangle$ be a λ -bialgebra and $\langle Y, i, d \rangle$ a generator for the T -algebra $\langle X, h \rangle$.

Lemma

The morphism $h \circ Ti : TY \rightarrow X$ is a λ -bialgebra homomorphism

$$h \circ Ti : \langle TY, \mu_Y, (Fd \circ k \circ i)^{\#6} \rangle \rightarrow \langle X, h, k \rangle.$$

⁶ $(Fd \circ k \circ i)^{\#} := F\mu_Y \circ \lambda_{TY} \circ T(Fd \circ k \circ i)$

Generators

Let λ be the canonical⁷ distributive law between T_{CSL} and F with $FX = X^A \times 2$.

Let $\langle X, h, k \rangle$ be the minimal λ -bialgebra accepting a regular language L .

Then $\langle J(X), i, d \rangle$ with $i(y) = y$ and $d(x) = \{y \in J(X) \mid y \leq x\}$ is a generator for $\langle X, h \rangle$.

The induced non-deterministic automaton

$$J(X) \xrightarrow{i} X \xrightarrow{k} FX \xrightarrow{Fd} FT_{\text{CSL}}(J(X))$$

is given by the so-called **canonical residual finite state automaton**⁸ for L .

⁷Induced by the T_{CSL} -algebra $\langle 2, h \rangle$ with $h(U) = \bigvee_{u \in U} u$.

⁸Denis, Lemay, and Terlutte, "Residual finite state automata".

Bases

A **basis** for a T -algebra $\langle X, h \rangle$ is a tuple $\langle Y, i, d \rangle$ consisting of an object Y , a morphism $i : Y \rightarrow X$, and a morphism $d : X \rightarrow TY$, satisfying

$$\begin{array}{ccc} TY & \xrightarrow{Ti} & TX \\ \uparrow d & & \downarrow h \\ X & \xrightarrow{\text{id}_X} & X \end{array} \quad \begin{array}{ccc} TX & \xrightarrow{h} & X \\ \uparrow Ti & & \downarrow d \\ TY & \xrightarrow{\text{id}_{TY}} & TY \end{array} .$$

⁸A free T -algebra $\langle TX, \mu_X \rangle$ has the basis $\langle X, \eta_X, \text{id}_{TX} \rangle$. In fact, a T -algebra admits a basis iff it is isomorphic to a free T -algebra.

Bases

Let $\langle Y, i, d \rangle$ be a basis for a T -algebra $\langle X, h \rangle$.

Lemma

The following two diagrams commute

$$\begin{array}{ccc} TX & \xrightarrow{Td} & T^2Y \\ h \downarrow & & \downarrow \mu_Y \\ X & \xrightarrow{d} & TY \end{array} \quad \begin{array}{ccc} Y & \xrightarrow{i} & X \\ \eta_Y \downarrow & \swarrow d & \\ TY & & \end{array} .$$

Corollary

A T -algebra homomorphism is uniquely determined by its restriction to a basis

$$\begin{array}{ccc} \langle X, h \rangle & \overset{f^\#}{\dashrightarrow} & \langle Z, h_Z \rangle \\ \uparrow i & \nearrow f & \\ Y & & \end{array} .$$

Bases

Let $\langle X, h, k \rangle$ be a λ -bialgebra and $\langle Y, i, d \rangle$ be a basis for the T -algebra $\langle X, h \rangle$.

Lemma

The morphism $d : X \rightarrow TY$ is a λ -bialgebra homomorphism

$$d : \langle X, h, k \rangle \rightarrow \langle TY, \mu_Y, (Fd \circ k \circ i)^\# \rangle.$$

Corollary

The morphism $h \circ Ti : TY \rightarrow X$ is a λ -bialgebra isomorphism

$$h \circ Ti : \langle TY, \mu_Y, (Fd \circ k \circ i)^\# \rangle \rightarrow \langle X, h, k \rangle.$$

Bases for bialgebras

Recall the equivalence

$$\text{Bialg}(\lambda) \simeq \text{Alg}(T_\lambda : \text{Coalg}(F) \rightarrow \text{Coalg}(F)).$$

Lemma

Let $\langle Y, k_Y, i, d \rangle$ be a generator for a T_λ -algebra $\langle X, h, k \rangle$, then the morphism $h \circ Ti : TY \rightarrow X$ is a λ -bialgebra homomorphism

$$h \circ Ti : \langle TY, \mu_Y, \lambda_Y \circ Tk_Y \rangle \rightarrow \langle X, h, k \rangle.$$

Lemma

Let $\langle Y, k_Y, i, d \rangle$ be a basis for a T_λ -algebra $\langle X, h, k \rangle$, then

$$\lambda_Y \circ Tk_Y = (Fd \circ k \circ i)^\sharp.$$

Basis representation

Assume the following data

$$\alpha = \{\alpha_1, \dots, \alpha_n\} : \text{basis for the } k\text{-vector space } V$$

$$\beta = \{\beta_1, \dots, \beta_m\} : \text{basis for the } k\text{-vector space } W.$$

Every linear transformation $L : V \rightarrow W$ admits a representation $L_{\alpha\beta} \in \text{Mat}_k(m, n)$ with

$$L(\alpha_j) = \sum_i (L_{\alpha\beta})_{i,j} \cdot \beta_i,$$

such that the coordinate vectors⁹ satisfy the matrix product equality

$$L(\mathbf{v})_{\beta} = L_{\alpha\beta} \mathbf{v}_{\alpha}.$$

⁹ $\mathbf{v} = \sum_i (v_{\alpha})_i \cdot \alpha_i$

Basis representation

Assume the following data

$\alpha = \langle Y_\alpha, i_\alpha, d_\alpha \rangle$: basis for the T -algebra $\langle X_\alpha, h_\alpha \rangle$

$\beta = \langle Y_\beta, i_\beta, d_\beta \rangle$: basis for the T -algebra $\langle X_\beta, h_\beta \rangle$.

Given a T -algebra homomorphism $f : \langle X_\alpha, h_\alpha \rangle \rightarrow \langle X_\beta, h_\beta \rangle$, we define

$$f_{\alpha\beta} := Y_\alpha \xrightarrow{i_\alpha} X_\alpha \xrightarrow{f} X_\beta \xrightarrow{d_\beta} TY_\beta. \quad (1)$$

Given a morphism $p : Y_\alpha \rightarrow TY_\beta$, we define

$$p^{\alpha\beta} := X_\alpha \xrightarrow{d_\alpha} TY_\alpha \xrightarrow{Tp} T^2Y_\beta \xrightarrow{\mu_{Y_\beta}} TY_\beta \xrightarrow{Ti_\beta} TX_\beta \xrightarrow{h_\beta} X_\beta. \quad (2)$$

Basis representation

Lemma

The morphism $p^{\alpha\beta} : X_\alpha \rightarrow X_\beta$ is a T -algebra homomorphism

$$p^{\alpha\beta} : \langle X_\alpha, h_\alpha \rangle \rightarrow \langle X_\beta, h_\beta \rangle.$$

Lemma

The operations (1) and (2) are mutually inverse,

$$(f_{\alpha\beta})^{\alpha\beta} = f \quad (p^{\alpha\beta})_{\alpha\beta} = p.$$

Lemma

The operations (1) and (2) are compositional¹⁰,

$$g_{\beta\gamma} \cdot f_{\alpha\beta} = (g \circ f)_{\alpha\gamma} \quad q^{\beta\gamma} \circ p^{\alpha\beta} = (q \cdot p)^{\alpha\gamma}.$$

¹⁰ $q \cdot p := \mu_{Y_\gamma} \circ Tq \circ p$

Basis representation

Assume the following data

α, α' : bases for the T -algebra $\langle X_\alpha, h_\alpha \rangle$

β, β' : bases for the T -algebra $\langle X_\beta, h_\beta \rangle$

$f : \langle X_\alpha, h_\alpha \rangle \rightarrow \langle X_\beta, h_\beta \rangle$.

Lemma

There exist Kleisli isomorphisms p and q such that

$$f_{\alpha'\beta'} = q \cdot f_{\alpha\beta} \cdot p.$$

Basis representation

Assume the following data

$$\begin{aligned} \alpha, \alpha' : & \text{bases for the } T\text{-algebra } \langle X_\alpha, h_\alpha \rangle \\ f : & \langle X_{\alpha'}, h_{\alpha'} \rangle \rightarrow \langle X_\alpha, h_\alpha \rangle. \end{aligned}$$

Corollary

There exists a Kleisli isomorphism p with Kleisli inverse p^{-1} such that

$$f_{\alpha'\alpha'} = p^{-1} \cdot f_{\alpha\alpha} \cdot p.$$

Alternative approach

Let $T : \mathbf{C} \rightarrow \mathbf{C}$ be a monad. The adjunction

$$\begin{array}{ccc} & \text{Alg}(T) & \\ F_T \uparrow & & \downarrow U_T \\ & \mathbf{C} & \end{array}$$

induces a comonad $\overline{T} = F_T \circ U_T : \text{Alg}(T) \rightarrow \text{Alg}(T)$.

A **BASIS**¹¹ for a T -algebra $\langle X, h \rangle$ is a \overline{T} -coalgebra

$$k : \langle X, h \rangle \rightarrow \overline{T}\langle X, h \rangle.$$

¹¹Jacobs, "Bases as coalgebras".

Alternative approach

Let $\langle Y, i, d \rangle$ be a basis for a T -algebra $\langle X, h \rangle$.

Lemma

The morphism $Ti \circ d : X \rightarrow TX$ is a BASIS for $\langle X, h \rangle$.

Conversely, under certain assumptions¹², it is possible to recover a basis from a BASIS.

¹²If there exists an equaliser of k and η_X , that is preserved by T .

Future work 1

Let $\langle D, \varepsilon, \delta \rangle$ be a comonad.

A **cogenerator** for a D -coalgebra $\langle X, k \rangle$ is a tuple $\langle Y, i, d \rangle$ consisting of an object Y , a morphism $i : X \rightarrow Y$, and a morphism $d : DY \rightarrow X$, satisfying

$$\begin{array}{ccc} DY & \xleftarrow{Di} & DX \\ \downarrow d & & \uparrow k \\ X & \xleftarrow{\text{id}_X} & X \end{array} \cdot$$

¹²For example, let $\langle \hat{\delta}, \varepsilon \rangle : X \rightarrow X^{A^*} \times 2$ be a DFA such that the final coalgebra semantics $[\cdot] : X \rightarrow 2^{A^*}$ admits a left-inverse d . Then $\langle 2, \varepsilon, d \rangle$ is a cogenerator for the coalgebra $\langle X, \hat{\delta} \rangle$ of the comonad D with $DX = X^{A^*}$.

Future work 2

Let T_{CABA} be the **neighbourhood monad** with $T_{\text{CABA}}X = 2^{2^X}$, then

$$\text{Alg}(T_{\text{CABA}}) \simeq \text{CABA}.$$

Moreover, for every complete atomic boolean algebra B ,

$$B \simeq 2^{\text{At}(B)}.$$

$\text{At}(B)$ is not a T_{CABA} -basis for B , so what is it? Maybe, use a definition parametric in two monads?

The end

Thanks!